

# BI3SS16 - Frequency Response - Part B

## 6 : Frequency Response Part B

In the first part have looked at finding and plotting Freq Resp  
 Dynamic systems modelled in terms of  $j\omega$   
 Complex Transfer function determined at various  $\omega$   
 Plotted on Nyquist and on Bode diagrams  
 Asymptotic approximations of Bode also shown  
 Here, use system's corner frequencies CF  
 Then starting at low frequency, plot response til next CF  
 CFs can be of first or second order poles/zeros  
 Will now reverse situation, have Bode Plot ..  
 Starting at low frequency, deduce each CF ...  
 This is System Identification

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## System Identification

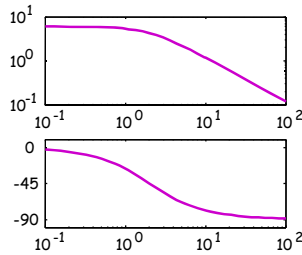
Suppose have measured values of  $m$ ,  $p$  and  $\omega$   
 Can we determine what the system is.  
 This is System Identification.  
 Initially guess at structure - eg Pole, 2 Pole, Lead + Poles, etc  
 But can also estimate actual corner freqs.  
 How ?  
 Computer can assist this.  
 Start with simple examples  
 Have another GUI which helps  
 Note is more accurate if CFs are further apart.

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## Simple System Identification



What is system ?

$$\frac{K}{1 + sT}$$

What is K?

Low freq gain = 6

How find other para?

1 / freq where  
 gain =  $6/\sqrt{2}$  or  
 phase =  $-45^\circ$

T = 1 / 2

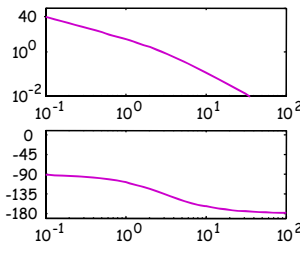
$\omega$	0.1	0.4	2.0	11.6	100.0
$m$	6.0	5.9	4.2	1.0	0.1
$p$	-2.9	-11.8	-45.0	-80.2	-88.9

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## Second Simple Example



What is system ?

$$\frac{K}{s(1 + sT)}$$

What is K?

Gain  $\frac{K}{\omega}$  at  $10^{-1} = 40$   
 So  $K = 40 * 0.1 = 4$

How find other para?

Where phase =  $-135^\circ$

T = 1 / 3

$\omega$	0.1	0.4	3.0	11.8	100.0
$m$	40.0	9.3	0.9	0.1	0
$p$	-91.9	-98.0	-135.0	-165.7	-178.3

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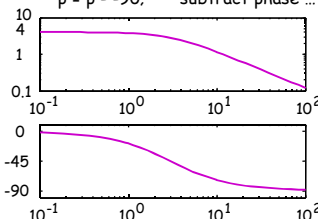
## Iterative Search

Assume:  $m$ ,  $p$ ,  $w$  in vectors

As system is pure integrator at low freqs, can 'remove' it :

$m = m * w$ ; divide all in  $m$  by gain there (=  $1/w$ )

$p = p - 90$ ; subtract phase ... then replot and analyse



These graphs →

$$\frac{4}{1 + s/3}$$

Hence System is

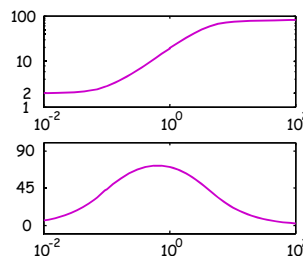
$$\frac{4}{s(1 + s/3)}$$

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## Lead-Lag elements



Has form  $K \frac{1+sT_e}{1+sT_a}$ :  $K = 2$

$T_e > T_a$ , as first corner  
 freq is  $1/T_e$

If  $p$  max =  $a$  at  $\omega_a$

$$afac = \frac{1 - \sin(a)}{\sqrt{1 + \sin(a)}}$$

$$T_e = \frac{1}{\omega_a * afac}; T_a = \frac{afac}{\omega_a}$$

$a = 72$  at  $\omega_a = 0.633$ ;  $afac = 0.7959$ ;  $T_e = 1.987$ ;  $T_a = 1.258$

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### Next Consider

Here  $\frac{K}{1+sT} * \frac{1+sT_e}{1+sT_a}$   
 K is 6,  
 T found where  $m=6/\sqrt{2}$ :  
 $1/\text{interp1}(p,w,-45) \sim 11$

So  $\frac{K}{1+sT} \approx \frac{6}{1+s11}$

```
[ma, pa] = bode(6, [11 1], w); % find m,p for this element
m = m ./ ma; p = p - pa; % so can analyse lead-lag
```

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### Then process new m, p ...

If  $p \text{ min} = a \text{ at } \omega_a$   
 $\text{afac} = \frac{1 - \sin(a)}{\sqrt{1 + \sin(a)}}$   
 $T_a = \frac{1}{\omega_a * \text{afac}} \quad T_e = \frac{\text{afac}}{\omega_a}$   
 $a = \min(p) = -116.90$   
 at  $\omega_a = 1 \text{ rad/s}$   
 So  $\text{afac} = 2$   
 $T_a = 2$   
 $T_e = 1/2 = 0.5$

So Sys =  $\frac{6}{1+s11} * \frac{1+s0.5}{1+s2}$

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### Identification of Second Order

Suppose m,p,w have data for:

$$P(s) = \frac{K}{s^2 + \frac{2\zeta s}{\omega_n} + 1}$$

At  $\omega_h$ ,  
 $P(j\omega) = \frac{K}{-\omega_h^2 + j\frac{2\zeta\omega_h}{\omega_n} + 1}$   
 $|P| = \frac{K}{2\zeta}; \angle P = -90^\circ$   
 $K = m(1)$   
 $\omega_n = 1/w(P = -90)$   
 $\zeta = K/2 * m(P = -90)$

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### Next Consider

Although has form  
 $\frac{K}{(1+sT_1)(1+sT_2)}$   
 Better (as poles interact) to find K,  $\zeta$  and  $\omega_n$  as before, then  
 $T_1, T_2 = \frac{\zeta \pm \sqrt{\zeta^2 - 1}}{\omega_n}$   
 $m(1) = 4.9983; p = -90 \text{ at } 12.25 \text{ to give } \omega_n; \zeta = 1.265;$   
 So  $T_1 = 0.1666; T_2 = 0.040$

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### On interp1 and monotonicity

```
[m,p,w]=bode(5*[1/10 1], conv([1/2 1], [1/50 1]))
```

Can find  $\omega$  where phase = -45 by `interp1(p, w, -45, 'spline')`  
 But, only works if first vector is monotonic - here get answer 10

Need to search where p always decreasing  
 Find index where p rises:  
 $\text{ndx} = \min(\text{find}(\text{diff}(p)>0))$   
`interp1(p(1:ndx), w(1:ndx), -45, 'spline')`  
`ans = 4.3771`

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### Identifying Multiple Elements

Algorithm given below ... implemented in another GUI

Given [m, p, w] for system  
 Do following until identified all elements:  
 Look at low freq response, decide element structure, find para(s)  
 (may need to search lower freq m's and p's rather than all)  
`do [ma, pa] = bode (this element, w)`  
`m := m ./ ma; p := p - pa` ie whole system / this element

Early errors propagate, but can work well

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### Example

Looks like zero & two poles  
Est T where  $m = dcgain/\sqrt{2}$ ;  
Remove pole, analyse rest

```
T=1/interp1(m(p>-45), w(p>-45), m(1)/sqrt(2));
% search m etc where p>-45. Returns T = 23.6
[ma,pa] = bode(1, [T 1], w); m := m ./ ma; p := p - pa;
```

doLeadLag(m, p, w)  
Get  $T_e = 5.6$ ,  $T_a = 1.9$

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### MatLab GUI

Auto Calculate Paras

Sys + Est      Remainder

Sys Est as 1/s

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### After Integrator

Sys + Est      Remainder

Sys Est as 15/s

Gain 15  
Hint Low F @ 14.999

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### After Gain

Sys + Est      Remainder

Sys Est as 15/s(10s+1)

Gain is 0.707 at 0.1

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### After Pole

Sys + Est      Remainder

Sys Est as 15/s(10s+1)(s^2\*0.04+s\*0.284+1)

Hint P = -90 at 5 rad/s when G = 0.7

zeta 0.71  
wn 5

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### Add Quadratic Pole

Sys + Est      Remainder

Sys Est as 15/s(10s+1)(s^2\*0.04+s\*0.284+1)

Press DONE

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## Three Pole Systems

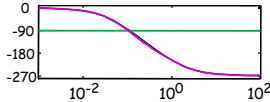
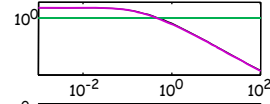
Approach here : find first pole, where gain =  $d\text{cgain}/\sqrt{2}$

Then use two pole/quadratic system on system/pole

Here  $K = 20$ ;  $T_s$  are 1, 4 and 15

Get gain: 19.9976  $T_1$ : 5.9411

$T_2$ : 13.1470  $T_3$ : 0.8769



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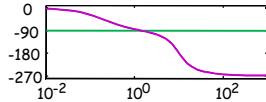
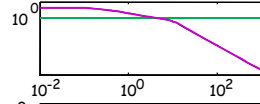
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Here  $K = 20$   $T = 5$   $\zeta = 0.5$   $\omega_n = 10$

Get gain: 19.9996 zeta: 0.5041

$\omega_n$ : 9.9992  $T_3$ : 4.9584



## Summary

In this lecture we have

Looked at the identification of systems with single and quadratic poles and zeros

Next week, we will consider

Stability Margins

M-circles and then Sensitivity Circles

Then closed loop and disturbance frequency responses.

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## Exercise - Lecture 6 (for 2 weeks)

Go to MatLab and run sysidgui

Enter your student number and you should see system 1.

Identify the system and copy the complete system to the ClipBrd and thence to your word document. Comment on the result.

Repeat for systems 2, 3.

Then untick the 'Auto Calculate Parameters' option

Identify systems 5 then 4.

Note the system assumes parameters are 1 - you change them based on the hint you get.

Note hint gives angular freqs but you may be asked for time constants.

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## 7 : Margins and Closed Loop FR

We have seen how we can plot the frequency response

As Bode or Nyquist diagram

We can also identify the system from the Bode Plot

Generally we look at the FR of the loop transfer function

In this lecture we start to relate loop and closed loop FR

We start with Stability Margins

Then we look at M-Circles : closed loop FR on Nyquist

We then add Sensitivity Circles and relate them to Margins

Then we will consider the closed loop FR

Next week we formally relate Loop FR to closed loop Step Resp.

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## Gain and Phase Margin

System oscillates when gain = 1 and phase lag =  $180^\circ$

System stable if gain < 1 when phase lag =  $180^\circ$

Or if phase lag <  $180^\circ$  when gain = 1

If system near to oscillator, then very underdamped

Hence define margins : how close to oscillating

Phase Margin : gain = 1 how much more phase lag before oscillates

Gain Margin : phase =  $180^\circ$ , by what multiply gain before oscillate

If Phase Lag is  $135^\circ$  when gain = 1,  $PM = 180 - 135 = 45^\circ$

Often this implies ~20% on step response

If gain = 0.25 when Phase =  $-180^\circ$ ,  $GM = 1/0.25 = 4$

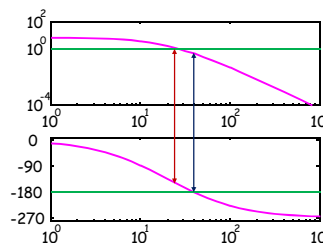
In decibels,  $GM = -20\log(0.25) = 12\text{dB}$

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## On Bode Plots



When phase  $-180^\circ$ ,  
gain = 0.47,

$$GM = 20 \log(0.47) = 6.54\text{dB}$$

When gain = 1,  
phase =  $-154.5^\circ$

$$PM = 25.5$$

In MatLab

```
[m, p, w] = bode(num, den);
GM = -20*log10(interp1(p, m, -180, 'spline'));
PM = 180+interp1(m, p, 1, 'spline');
```

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### On Nyquist

GM: use where locus cross -ve real axis;  
PM, use where locus meet unit circle

PM related to overshoot of step response, as we shall see next week

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### M and N circles

Other circles are drawn on the Nyquist plane  
These two are for relating loop TF to closed loop

- M-circles locus of constant closed loop gain
- N-circles locus of constant closed loop phase

Superimposed on a Nyquist plot : called Nichols chart.  
Both circles defined by their origin and radius

M circles Origin:  $-\frac{M^2}{M^2-1}, 0$  Radius:  $\frac{M}{M^2-1}$   
N circles Origin:  $-\frac{1}{2}, \frac{1}{2N}$  Radius:  $\frac{\sqrt{N^2+1}}{2N}$

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### Use of M-Circles

M is closed loop gain : for system with A and  $\beta$  (and - in summer)

$$M = \left| \frac{A}{1+A\beta} \right|$$

M circle defines positions on A $\beta$  plane where M constant  
Define  $M_{pf} = \text{Max}(M)$  which occurs at  $\omega = \omega_{rf}$   
One use of M and M-circle  
(strictly for systems with very high d.c. loop gain)  
If Nyquist locus touches M = 1.3 circle (like PM = 45°)  
often implies ~ 20% overshoot to step input  
 $\omega$  where  $M = M_{pf}$  (ie  $\omega_{rf}$ ) related to freq of transient resp  
This should become clearer in next lecture

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### Example - from Lecture 3

Design PM=45°  
C = 1/17.9373 = 0.0557

Nyq Plot of C\*P  
Just touch M=1.3 circle

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### Various M-circles

Gives no feel for loop gain or closed loop gain  
So plot in 3D, Z axis is M

NB 1.6 circle inside 1.3  
So inside 1.3 circle,  $M > 1.3$ .

$$M = \left| \frac{A}{1+A\beta} \right|$$

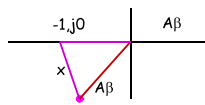
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### Or Better - a 3D plot ( $\beta = 1$ )

Clipped at -1,j0  
Much of plot gain = 1 (O=I)  
Not so near 0,0 and -1,j0  
Want Nyquist locus mainly where gain 1  
But, design so locus touches suitable M circle ...

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## Sensitivity Function / Circle



Vector from  $-1, j0$  to Blob =  $x$   
 But going via  $0, 0 = 1 + A\beta$   
 So  $x = 1 + A\beta$   
 $|x|$  = distance of point from  $-1, j0$

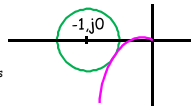
The Sensitivity Function is defined as  $S(j\omega) = \frac{1}{1 + A\beta} = \frac{O}{D}$

$M_s = \text{Max}(|S(j\omega)|)$  is  
 minimum distance of locus to  $-1, j0$

Sensitivity circle: origin  $-1, j0$ , radius  $M_s$

Can design for specific  $M_s$  value :  
 locus just touch it

At  $\omega$ 's where  $S(j\omega) > 1$ , Disturbances are amplified !



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## Finding $M_s$

Can find gain and phase for loop transfer function num / den.  
 But how to find  $M_s$ ?

If  $A\beta = \frac{n}{d}$  then  $1 + A\beta = 1 + \frac{n}{d} = \frac{d+n}{d}$

Thus  $M_s = \text{Max}\left(\left|\frac{1}{1 + A\beta(j\omega)}\right|\right) = \text{Max}\left(\left|\frac{d(j\omega)}{d(j\omega) + n(j\omega)}\right|\right)$

Recall  $C = 0.0557$  and  $P = \frac{3}{8s^3 + 6s^2 + s}$

So have c, and num, den are polys for p:  
 $\gg [m, p, w] = \text{bode}(\text{den}, \text{polyadd}(\text{den}, c * \text{num}))$   
 $\gg ms = \text{max}(m)$   
 $M_s = 1.7726$

RJM function adds  
 two polys - pads  
 smaller with 0s til  
 both same size

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## Complementary Sensitivity Function

We have met the sensitivity function  
 (which relates to circle, origin  $-1, j0$ )

$$S(j\omega) = \frac{1}{1 + A\beta}$$

Control Engineers also use the  
 complementary sensitivity function

$$T(j\omega) = \frac{A\beta}{1 + A\beta}$$

Name comes from fact the  $S(j\omega) + T(j\omega) = 1$

Control engineers assume  $\beta = 1$ , so  $T(j\omega) = M(j\omega)$

It is suggested that one could do a design with relative stability  
 specified by  $M_s = \text{Max}(|S(j\omega)|)$  = value 1.4 ... 2

Can also specify  $M_t = \text{Max}(|T(j\omega)|)$  and  $M_s = M_t$

These can be considered alternative to GM and PM

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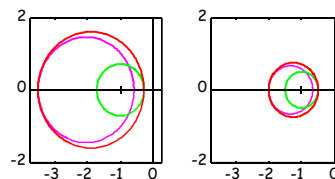


## $M_x$ circles on Nyquist

$M_s$  circles Origin :  $-1, 0$  Radius :  $1/M_s$

$M_t$  circles Origin :  $-\frac{M_t^2}{M_t^2 - 1}, 0$  Radius :  $\frac{M_t}{M_t^2 - 1}$

$M = \text{Max}(M_s, M_t)$  Origin :  $-\frac{2M^2 - 2M - 1}{2M(M - 1)}, 0$  Radius :  $\frac{2M - 1}{2M(M - 1)}$



$M = 1.4$  and  $2$   
 Design so  
 Nyquist locus  
 kept outside  
 circles

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## Closed Loop Frequency Response

Bode and Nyquist are plots of loop TF.

Can also plot how |closed loop gain| vary with frequency

Suppose  $A = \frac{n(s)}{d(s)}$  and  $\beta = 1$  ('-' in summer)

Closed Loop Transfer Function is  $\frac{\frac{n(s)}{d(s)}}{1 - \frac{n(s)}{d(s)}} = \frac{n(s)}{d(s) + n(s)}$

So use MatLab's Bode function (using RJMs polyadd function)

$\gg [m, p, w] = \text{bode}(n, \text{polyadd}(n, d)); \% \text{calc data}$

$\gg \text{plot}(w, m); \% \text{plots variation of gain with angular freq}$

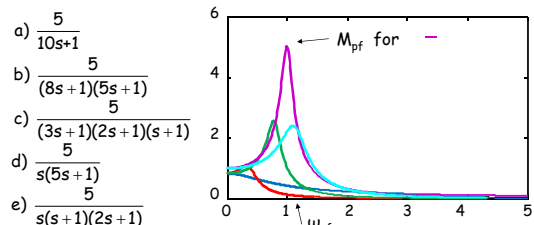
$\gg M_{pf} = \text{max}(m); w_{rf} = w(m = M_{pf});$

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## Closed Loop Gain - for 5 systems



$M_{pf}$  is max closed loop gain, occurring at  $w_{rf}$

If graph peaks,  $w_{rf}$  related to freq of damped sinusoid in step response.

We will use this later

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## Estimating $\omega_{rf}$

A good estimate for  $\omega_{rf}$  is where loop gain is 1. For the examples:

$$\frac{5}{(8s+1)(5s+1)} \quad \text{Gain} = 1 \text{ at } \omega = 0.3127; \quad \text{CLGain Max at } \omega = 0.3117$$

$$\frac{5}{(3s+1)(2s+1)(s+1)} \quad \text{Gain} = 1 \text{ at } \omega = 0.7091; \quad \text{CLGain Max at } \omega = 0.7733$$

$$\frac{5}{s(5s+1)} \quad \text{Gain} = 1 \text{ at } \omega = 0.9901; \quad \text{CLGain Max at } \omega = 0.9899$$

$$\frac{5}{s(s+1)(2s+1)} \quad \text{Gain} = 1 \text{ at } \omega = 1.212; \quad \text{CLGain Max at } \omega = 1.103$$

Why

$$\text{CLGain} = \frac{A}{1+A\beta} \quad \text{When } |A\beta| = 1, \angle A\beta \rightarrow -180, |1+A\beta| \rightarrow 0, \text{CLGain max}$$

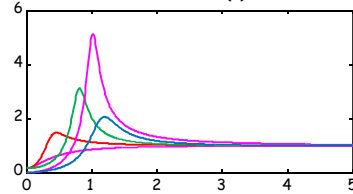
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## Closed Loop Disturbance Response

Closed Loop Disturbance Transfer Function is  $\frac{O}{D} = \frac{1}{1 - \frac{n(s)}{d(s)}} = \frac{d(s)}{d(s) + n(s)}$



NB This is sensitivity function - relates to Max (S) circle

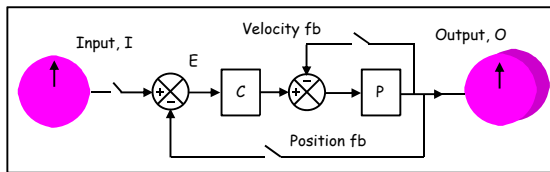
At low freq, graph < 1 : reducing effect of D on O

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## Experiment - Old Educational Servo



Closed Loop : diff to move Output slowly - til max torque

Step resp; note freq of osc : easy to move O at that freq

Open loop - very easy to move Output slowly

Diff to move O at speed of closed loop response

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## Using Simple Linear Model of Servo

Open Loop:  $\frac{O}{I} = \frac{O}{E} = \frac{K}{s(1+sT)} \quad \frac{O}{D} = 1$

Open loop : no feedback so I = E

Closed Loop:

$$\frac{O}{I} = \frac{\frac{K}{s(1+sT)}}{1 + \frac{K}{s(1+sT)}} = \frac{K}{s^2T + s + K} \quad \frac{O}{D} = \frac{1}{1 + \frac{K}{s(1+sT)}} = \frac{s^2T + s}{s^2T + s + K}$$

Low freq, s (ie jw) negligible

$$\text{Low Freq OL } \frac{O}{I} = \infty, \quad \frac{O}{D} = 1; \quad \text{CL } \frac{O}{I} = 1, \quad \frac{O}{D} = 0$$

Confirms easy to move output when OL, diff CL

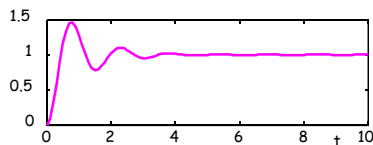
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## Why easy to add high freq D?

Graph below shows how O responds to input step



$$O = 1 - k e^{-at} \sin(\omega_{rf}t - \phi)$$

The a value - sets how quickly sinusoid decays - more later  $\omega_{rf}$  is angular freq of the sinusoid

That is freq we wish to add as disturbance

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## Higher Frequency Test

Can show  $\omega_{rf} = \frac{\sqrt{TK-0.25}}{T}$

Suppose K = 9, T = 0.5

Then  $\omega_{rf} = 4.12 \text{ rad/s}$

Then  $\left| \frac{O}{E} \right| = \frac{KT}{\sqrt{(TK-0.25)(TK+0.75)}}$

$$\left| \frac{O}{E} \right| = \frac{4.5}{\sqrt{22.3}} = 0.953$$

C.L.  $\left| \frac{O}{I} \right| = \frac{KT}{\sqrt{TK-0.1875}}$

$$\left| \frac{O}{I} \right| = \frac{4.5}{\sqrt{4.3125}} = 2.17$$

C.L.  $\left| \frac{O}{D} \right| = \frac{\sqrt{(TK-0.25)(TK+0.75)}}{TK-0.1875}$

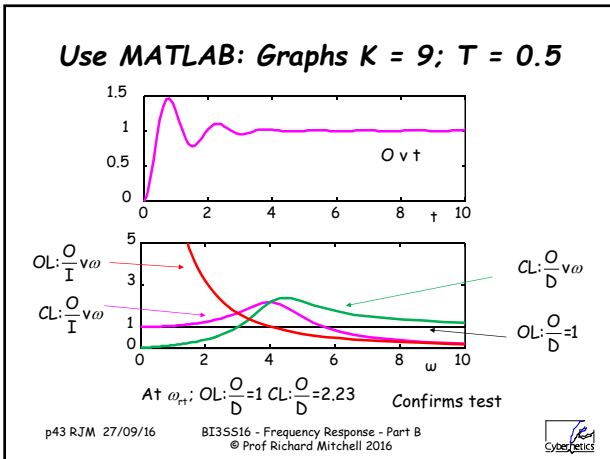
$$\left| \frac{O}{D} \right| = \frac{\sqrt{22.3}}{\sqrt{4.3125}} = 2.27$$

At this freq, sinusoid D is amplified - confirm test

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## Summary

This lecture has covered various related topics:

- Gain and Phase Margin - we design to achieve a given PM
- M-circles : where closed loop gain is constant
- We can design to achieve a given max(closed loop gain)
- We have looked also at sensitivity circles
- We can design to achieve a given max(sensitivity)
- We have looked at the closed loop freq and dist responses
- The design concepts relate to the relationship between loop frequency responses and closed loop step response
- We will explore that relationship next week.

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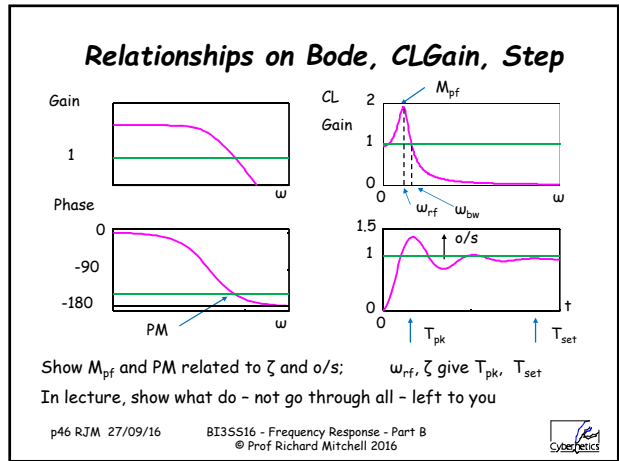
## 8 : Relating Time and Freq Domain

This week we seek to relate frequency domain with time domain  
Do this by finding exact relationship for second order system  
Then assess how well applies to other systems:

$$Loop = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s}; \frac{O}{I} = G(s) = K * \frac{Loop}{1 + Loop} = \frac{K\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

Can find  $O(t)$  if  $I$  is a step - thence  $O_{ss}$ ,  $T_{pk}$  %o/s, etc  
Will also assess loop TF, find PM etc - then find how relate

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## Time Domain Step Responses

$$O(s) = \frac{1}{s} \times \frac{K\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} = \frac{K}{s} - \frac{K(s + 2\zeta\omega_n)\omega_n^2}{(s + \zeta\omega_n)^2 + \omega_n^2(1 - \zeta^2)}$$

$$O(t) = K - \frac{K}{\sqrt{1 - \zeta^2}} e^{-\zeta\omega_n t} \sin(\omega_n \sqrt{1 - \zeta^2} t + \tan^{-1}(\frac{\zeta\omega_n}{\sqrt{1 - \zeta^2}}))$$

Steady State output,  $O_{ss} = K$

Transient  $O_T = -K' e^{-\zeta\omega_n t} \sin(\omega_n t + \phi)$

Sets settling time

Freq of oscillations  $\omega_{nt} = \omega_n \sqrt{1 - \zeta^2}$

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## Peak Value - when $dO/dt = 0$

$$\dot{O}(s) = \frac{K\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} = \frac{K\omega_n^2}{(s + \zeta\omega_n)^2 + \omega_n^2(1 - \zeta^2)}$$

$$\text{So } \dot{O} = \frac{dO}{dt} = \frac{K\omega_n}{\sqrt{1 - \zeta^2}} e^{-\zeta\omega_n t} \sin(\omega_n \sqrt{1 - \zeta^2} t)$$

Zero at  $\sin(\pi t)$ , first peak when  $\sin(\pi)$ , next at  $\sin(3\pi)$

$$\text{So } T_{pk} = \frac{\pi}{\omega_n \sqrt{1 - \zeta^2}} = \frac{\pi}{\omega_{nt}}$$

Per unit overshoot

$$\text{when } O = K - Ke^{-\zeta\omega_n t} \cos(\pi) = K + Ke^{-\zeta\omega_n t} \frac{\zeta\omega_n}{\sqrt{1 - \zeta^2}}$$

O/S 20.5% if  $\zeta$  0.45

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## Settling Time, $T_{set}$

$$O_T(t) = K' e^{-\zeta\omega_n t} \sin(\omega_n t + \phi)$$

O settles within 2% of  $O_{ss}$  when  $K' e^{-\zeta\omega_n t}$  within 2% of K

$$T_{set} \text{ is } t \text{ when } \frac{K}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} < 0.02 K$$

$$t = T_{set} \geq \frac{-\ln(0.02 * \sqrt{1-\zeta^2})}{\zeta\omega_n} \approx \frac{4}{\zeta\omega_n}$$

{ For  $0.3 < \zeta < 0.7$ ,  $3.96 < \text{numerator} < 4.25$  }

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## Frequency Response: Max Gain $M_{pf}$

$$G(j\omega) = \frac{K\omega_n^2}{\omega_n^2 - \omega^2 + j2\zeta\omega_n\omega}; \text{ so } M = |G(j\omega)| = \frac{K\omega_n^2}{\sqrt{(\omega_n^2 - \omega^2)^2 + (2\zeta\omega_n\omega)^2}}$$

Want  $\omega$  where M max : when diff of square of denom is 0

$$\text{Straightforward to show } \omega_{rf}^2 = (1 - 2\zeta^2) \omega_n^2$$

$$\text{Thus max gain, } M_{pf} = \frac{K}{2\zeta\sqrt{1-\zeta^2}} = \frac{O_{ss}}{2\zeta\sqrt{1-\zeta^2}}$$

$$\text{Rearranging, gives } \zeta^2 = 0.5 - 0.5\sqrt{1 - \frac{O_{ss}^2}{M_{pf}^2}}$$

$\zeta$  negative if system unstable

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## On $T_{pk}$

Can use

$$\omega_{rf} = \omega_n \sqrt{1 - 2\zeta^2}; \quad T_{pk} = \frac{\pi}{\omega_n \sqrt{1 - \zeta^2}} = \frac{\pi}{\omega_{rf} \sqrt{1 - \zeta^2}}$$

Fine if  $2\zeta^2 < 1$ , or  $\zeta < 0.707$ . If not, use closed loop bandwidth ...

$$G(j\omega) = \frac{\omega_n^2}{-\omega^2 + j2\zeta\omega_n\omega + \omega_n^2}; \text{ Find } \omega \text{ where } |G(j\omega)| = \frac{1}{\sqrt{2}}$$

$$\text{i.e. } \frac{\omega_n^4}{(\omega_n^2 - \omega^2)^2 + (2\zeta\omega_n\omega)^2} = \frac{1}{2} \quad \omega_{bw}^2 = \omega_n^2 \left( 1 - 2\zeta^2 + \sqrt{4\zeta^4 - 4\zeta^2 + 2} \right)$$

$$\text{So } T_{pk} = \frac{\pi}{\omega_n \sqrt{1 - \zeta^2}} = \frac{\pi \sqrt{1 - 2\zeta^2 + \sqrt{4\zeta^4 - 4\zeta^2 + 2}}}{\omega_{bw} \sqrt{1 - \zeta^2}}$$

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## Loop Transfer Function for PM

$$\text{Loop TF } L(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s} \quad |L(j\omega)| = \frac{\omega_n^2}{\omega \sqrt{\omega^2 + (2\zeta\omega_n)^2}}$$

$$\angle L(j\omega) = -90 - \tan^{-1} \frac{\omega}{2\zeta\omega_n}$$

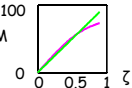
Want  $\omega$  where  $|L(j\omega)| = 1$

$$\text{Form quadratic in } \omega^2 \text{ from } |L|^2 = 1. \text{ Solve: } \omega^2 = \omega_n^2 \left( -2\zeta^2 + \sqrt{4\zeta^4 + 1} \right)$$

$$\text{PM} = 180 + \text{Phase} = 180 - 90 - \tan^{-1} \frac{\omega}{2\zeta\omega_n} = 90 - \tan^{-1} \frac{\omega}{2\zeta\omega_n}$$

$$= \tan^{-1} \frac{2\zeta\omega_n}{\omega} = \tan^{-1} \frac{2\zeta}{\sqrt{-2\zeta^2 + \sqrt{4\zeta^4 + 1}}} \quad \text{PM}$$

Ugg - but for  $\zeta < 0.6$  good approx  $\text{PM} = 100 \zeta$



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## Summary

$\zeta \approx \text{PM} / 100$  : from PM can estimate  $\zeta$ .

Or if know peak closed loop freq: using  $M_{pf}$  and  $\omega_{rf}$

$$\zeta^2 = 0.5 - 0.5\sqrt{1 - \frac{O_{ss}^2}{M_{pf}^2}} \quad \omega_n = \frac{\omega_{rf}}{\sqrt{1 - 2\zeta^2}}$$

$$\text{Settling time, } T_{settle} \approx \frac{4}{\zeta\omega_n} \quad \text{overshoot} = e^{-\frac{\zeta}{\sqrt{1-\zeta^2}}}$$

$$\text{Time to Peak, } T_{pk} = \frac{\pi}{\omega_{rf}} = \frac{\pi}{\omega_n \sqrt{1 - \zeta^2}} = \frac{\pi \sqrt{1 - 2\zeta^2 + \sqrt{4\zeta^4 - 4\zeta^2 + 2}}}{\omega_{bw} \sqrt{1 - \zeta^2}}$$

We can use these to numerically estimate step resp from bode data

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## Predicting Response from Bode data

Suppose have  $[m, p, w]$  that gives Bode plot of loop TF

At each  $w$ , have  $m \cos(p) + j m \sin(p)$  : But need closed loop gain

$$\frac{m \cos(p) + j m \sin(p)}{1 + m \cos(p) + j m \sin(p)} = \frac{\sqrt{m^2 \cos^2(p) + m^2 \sin^2(p)}}{\sqrt{1 + 2m \cos(p) + m^2 \cos^2(p) + m^2 \sin^2(p)}}$$

$$= \frac{m}{\sqrt{1 + m^2 + 2m \cos(p)}}$$

$mc = m / \sqrt{1 + m^2 + 2 * m * \cos(p)}$ ; % closed loop gain  
 $mpf = \max(mc)$ ; % find Mpf : max cl gain  
 $wrf = w(mc == mpf)$ ; % w where max cl gain  
 $yss = mc(1)$ ; % steady state = dc gain  
 $zeta = \sqrt{0.5 - 0.5 * \sqrt{1 - yss^2 / mpf^2}}$ ; % and  $\zeta$   
 Thence can estimate  $\omega_n$ ,  $\omega_{rf}$ , Overshoot,  $T_{pk}$ ,  $T_{set}$  ...

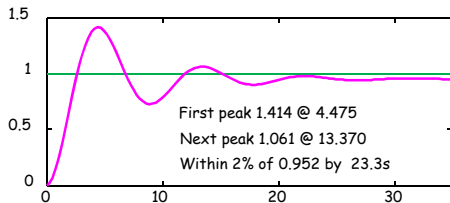
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## Step Response of 2nd Order System

This is first example where we try these ideas out  
`n = 20; % num = 20 simple controller`  
`d = conv([5 1],[8 1]); % denom = (1+s5)*(1+s8)`  
`[y,x,t]=step(n,[0 0 n]+d); % of closed loop system`  
`plot(t,y,'k-',[min(t),max(t)],[1 1],'k-'); % plot y and i/p`

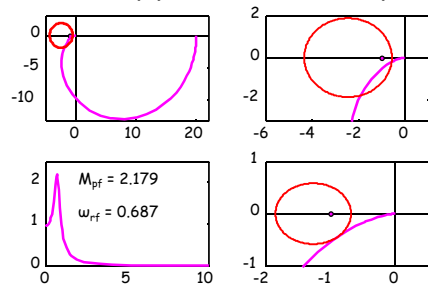


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## Nyquist and CL-Freq Resp



If locus touch  $M=1.3$  circle, often 20%os.  
 Here Mpf is 2.179,  
 so locus touch  $M=2.179$  circle at  $\omega_{rf}$

`[m p w] = bode(n,[0 0 n]+d); % calc closed loop freq resp`  
`plot(w,m,'k-'); % plot magnitude vs freq`

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## Applying These

$M_{pf} = 2.179$  and  $\omega_{rf} = 0.687$  rad/s;

So  $\zeta = 0.2243$  and  $\omega_{nt} = 0.706$  rad/s;

Actual Step response:

peak of 1.40 @ 4.47 s and 1.06 @ 13.4 s;

Tset (settling time) = 23.3s

Predictions from Freq Domain

peaks at  $\pi/0.706 = 4.45$ ; that +  $2\pi/0.706 = 13.3$  s

Peak from formula  $(20/21)*(1+0.486) = 1.41$  s

Tset = 23.9 s

Quite close - not exact as loop tf not in form  $K/s(1+sT)$

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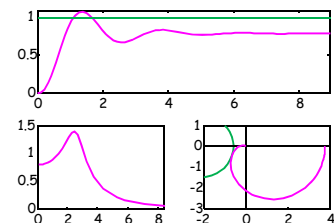
## Another Example

`num = 37.58;`  
`den = (s + 1)(s + 2)(s+5)`

$M_{pf} = 1.394$   $\omega_{rf} = 1.682$   
 $\zeta = 0.2968$   $\omega_n = 1.853$

Tpk ~ 1.776 Tpk = 1.395  
 %os ~ 37.67 %os = 37.01  
 Tset ~ 5.714 Tset = 5.23

Predictions are ok



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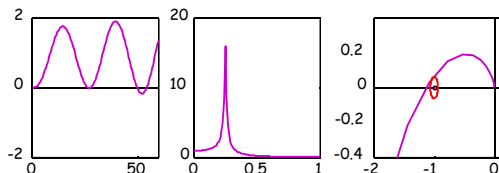
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## Now try on an Unstable System

`n = 10; d = (1+s5)(1+s8)(1+s10);`

Step resp, Closed Loop Freq resp, Nyquist+ $M=M_{pf}$



$M_{pf} = 16.1$ ;  $\omega_{rf} = 0.251$ ;  $\zeta = -0.028$ ;  $\omega_{nt} = 0.251$

Actual Peak 1.76 at 14.96s; Next at 38.9s

Predict as 1.90 at  $\pi/\omega_{nt} = 12.5$ s; Next at  $3\pi/\omega_{nt} = 37.6$ s

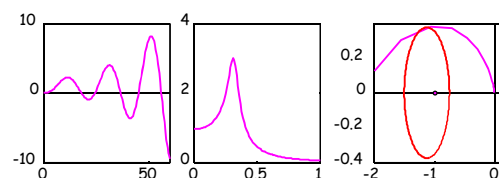
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## Increase n to 20: More Oscillatory

Step resp, Closed Loop Freq resp, Nyquist +  $M=2.995$



$M_{pf} = 2.995$ ;  $\omega_{rf} = 0.311$ ;  $\zeta = -0.161$ ;  $\omega_{nt} = 0.315$

Actual Peak 2.22 at 11.6s; Next at 31.3s

Predict as 2.54 at  $\pi/\omega_{nt} = 10.0$ s; Next at  $3\pi/\omega_{nt} = 29.9$ s

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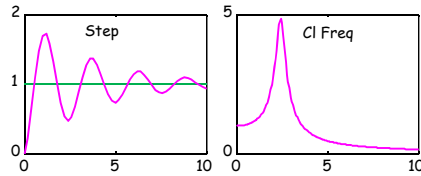
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## Now consider this system

Plant =  $\frac{(s+2)(s+3)}{s^2(s+1)(s+24)(s+30)}$ ; Series Controller K = 1000



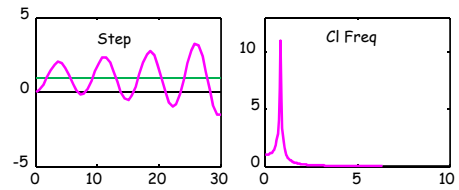
$M_{pf} = 4.85$ ;  $\omega_{rf} = 2.43$ ;  $\zeta = 0.104$ ;  $\omega_{rt} = 2.44$   
 First peak 1.73 @ 1.14s; Next 1.37 @ 3.70s; Settle by 14.2s  
 Predict as 1.72 at  $\pi/\omega_{rt} = 1.29s$ ;  $3\pi/\omega_{rt} = 3.86s$ ; Tset: 15.7s

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## Same System, But K down To 100



$M_{pf} = 11.1$ ;  $\omega_{rf} = 0.846$ ;  $\zeta = -0.045$ ;  $\omega_{rt} = 0.847$   
 Peak pred as 2.15 at  $\pi/\omega_{rt} = 3.71s$   $3\pi/\omega_{rt} = 11.1s$   
 First peak 2.04 at 3.68s; Next at 11.0s

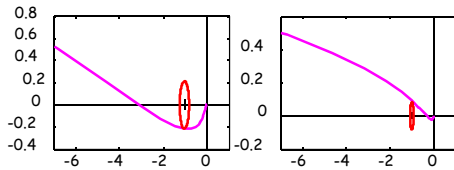
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## Nyquists for These Two Systems

K = 1000 + M = 4.85 Circle; For K = 100 + M = 12.0



When K = 100, curve encircles the  $-1j0$  point - so unstable.  
 When K = 1000, resonant freq where phase <  $180^\circ$ , so stable  
 Conditionally stable system - increase gain to stabilise it  
 Although gain > 1 when phase =  $-180^\circ$  system not unstable  
 Because freq of oscillation of transient where CL gain max

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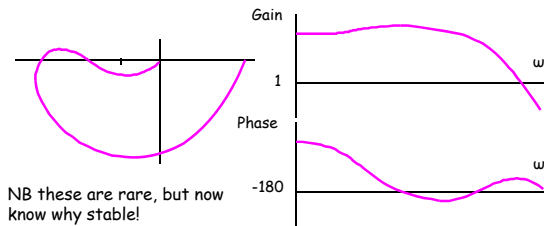
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## On Conditionally Stable Systems

So system unstable if gain > 1 when phase  $-180^\circ$  not always true  
 can have conditionally stable systems

In such cases reduce gain to make system unstable!



NB these are rare, but now know why stable!

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## Summary

Have shown how time domain responses can be estimated from frequency responses

Ok for 2<sup>nd</sup> order and others if dominant mode appropriate

We see that PM related to overshoot

Hence we can design controllers to achieve such a specification

We have already done this for P controller

Next week we remind ourselves of this and then consider more sophisticated controllers.

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## 9. Frequency Response Designs

We have considered the analysis of systems in the freq domain

We can determine stability, plot responses, estimate time response

We have seen how to Design P

In this lecture

We remind ourselves of P control

and consider Phase Lead Control, P+I and PID control

We will also look at how these controllers change Bode plots

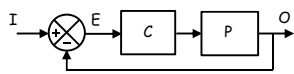
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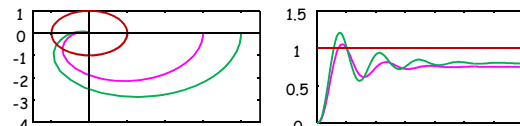
## Proportional Design - Reminder



Design C so C\*P has given PM  
Let P = num/den

$C = 1 / \text{gain of } C^*P \text{ when phase is } -180^\circ + PM$

e.g.  $P(s) = \frac{4}{24s^3 + 26s^2 + 9s + 1}$ ;  $PM = 45^\circ$ ;  $C = 1/1.334 = 0.7495$



Stable, but not very good

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## Now Another Example

$$P(s) = \frac{1}{(s+5)(s+1)(s+2)}$$

Set  $PM = 45^\circ$ ;  $C = 37.58$

Code looks at Bode data of  $C^*P$

Calculates CL Freq Resp

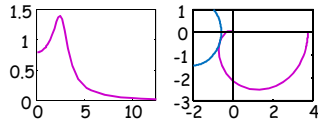
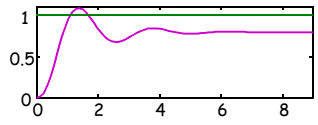
Hence estimates  $\zeta$  &  $\omega_n$

Thence  $T_{pk}$ , %os and Tset

Mpf 1.394 Wrf 2.49 zeta 0.2968 wn 2.743

Estimates:  $T_{pk} \sim 1.199$  %os  $\sim 37.67$  Tset  $\sim 3.859$

Actual:  $T_{pk} = 1.395$  %os = 37.01 Tset = 5.23 ok



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## More Complicated Example

$$P(s) = \frac{36(s/11 + 1)}{(s/71 + 1)(s/30 + 1)(s/222 + 1)(s/448 + 1)}$$

Set  $PM = 45^\circ$ ;  $C = 0.0491$

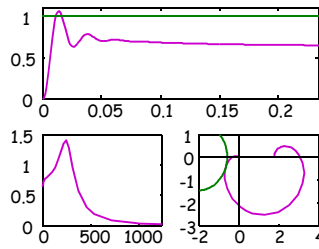
Mpf 1.396 Wrf 245.5  
zeta 0.2353 wn 260.3

$T_{pk}$  : %os : Tset

Est 0.012 : 46.7 : 0.051

Act 0.014 : 65.9 : 0.183

Pred ok.  
Controller Naff



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## Phase Lead Control - for speed up

Speed of response set by  $\omega_{cf}$ , which is near where loop phase  $-180$

To speed response, have Phase Lead instead of P control:

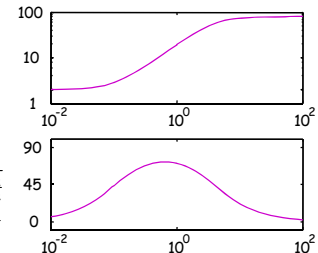
$$C(s) = K_p \left( \frac{1 + sT_e}{1 + sT_a} \right)$$

$T_e > T_a$ , phase  $\uparrow$  at low freqs,  
So phase  $-180$  at higher freq

Phase max  $\alpha$  at  $\omega = \frac{1}{\sqrt{T_e T_a}}$

Then  $\alpha = \tan^{-1} \sqrt{\frac{T_e}{T_a}} - \tan^{-1} \sqrt{\frac{T_a}{T_e}}$

Can show  $\alpha = \frac{\pi}{2} - 2 \tan^{-1} \sqrt{\frac{T_a}{T_e}}$



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## Phase Lead Controller

To speed by fac n, if phase  $-180+PM$  at  $\omega_x$ ,  
design so phase  $-180+PM$  at  $n\omega_x$ .

$$C(s) = K_p \left( \frac{1 + sT_e}{1 + sT_a} \right)$$

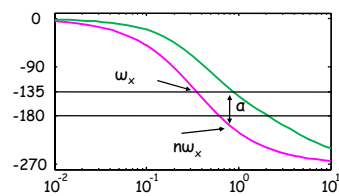
$pn = \angle P(n\omega_x)$   
 $\alpha = -180 + PM - pn$   
(must be  $< 90$ )

$$\text{Let } G = \tan\left(\frac{90 - \alpha}{2}\right)$$

$$T_e = \frac{1}{n^* \omega_x * G}$$

$$T_a = \frac{G}{n^* \omega_x}$$

Then  $K_p$  to meet PM



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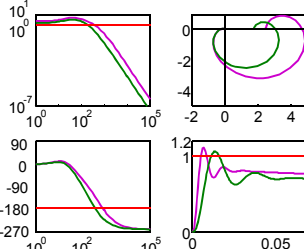
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## Results - speed up by 2

$$P(s) = \frac{36(s/11 + 1)}{(s/71 + 1)(s/30 + 1)(s/222 + 1)(s/448 + 1)}$$

$PM = 45^\circ$ ;  $C_p = 0.0491$ ;  $C_{pl} = 0.0689 \left( \frac{1 + s0.00624}{1 + s0.000902} \right)$



C =	P	PhL
$T_{pk}$	0.014	0.007
Tset	0.18	0.172
%os	65.9	55.9
Oss	0.639	0.713

PhL : faster, slightly better Oss ... naff

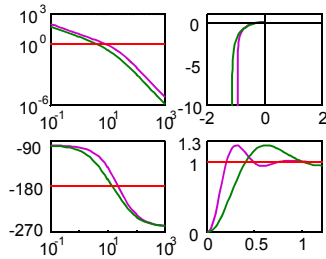
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## Example for a Type 1 System

$$P(s) = \frac{70}{s(s/6+1)(s/35+1)}; C_p = 0.0836; C_{pl} = 0.154 \left( \frac{1+s0.176}{1+s0.067} \right)$$



C = P	PHL
Tpk 0.616	0.308
TSet 1.47	0.70
%os 23.3	23.5
Oss 1	1

PL Speeds up.  
Here Oss = 1...

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## P + I Controller

For Type 0 system, to ensure  $O_{ss}$  is 1  $C(s)$  includes an integrator eg a P+I controller

$$C(s) = K_p \left( 1 + \frac{1}{sT_i} \right) = K_p' \left( \frac{1+sT_i}{sT_i} \right)$$

The integrator adds  $90^\circ$  phase lag, the associated lead adds some phase lead - which can help

As we shall see, can design for this, but system slow.

Aim, choose a working freq,  $\omega_c$ , and find  $T_i$  and  $K_p$  so that system has unity gain and phase  $-180^\circ + PM$ .

Note  $\omega_c$  typically near where plant has phase lag of  $\sim 90^\circ$

This is lower than that used for phase lead, so system slow...

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## Details

$$C(j\omega) = K_p \frac{1+j\omega T_i}{j\omega T_i} \quad \angle C(j\omega_c) = \tan^{-1} \omega_c T_i - \frac{\pi}{2}$$

Want  $\angle C(j\omega_c) * P(j\omega_c) = -\pi + PM$

$$\text{So } \angle C(j\omega_c) = -\pi + PM - \angle P(j\omega_c) = \phi$$

$$\phi = \tan^{-1} \omega_c T_i - \frac{\pi}{2} \quad \Rightarrow \quad T_i = \frac{1}{\omega_c} \tan\left(\phi + \frac{\pi}{2}\right)$$

$$|C(j\omega_c)| = K_p \frac{\sqrt{1+(\omega_c T_i)^2}}{\omega_c T_i} = K_p \frac{\sqrt{1+\tan^2\left(\phi + \frac{\pi}{2}\right)}}{\omega_c T_i \cos\left(\phi + \frac{\pi}{2}\right)} = \frac{K_p}{\omega_c T_i \cos\left(\phi + \frac{\pi}{2}\right)}$$

$$\text{As } |C(j\omega_c)| |P(j\omega_c)| = 1; \frac{K_p}{-\omega_c T_i \sin\phi} |P(j\omega)| = 1; \text{ so } K_p = -\frac{\omega_c T_i \sin\phi}{|P(j\omega_c)|}$$

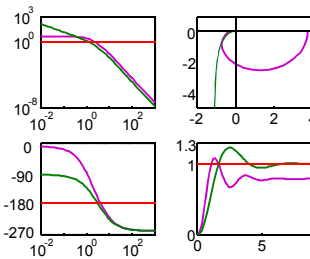
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## Example - on same plant

$$P(s) = \frac{1}{(s+5)(s+1)(s+2)} \quad PM = 45^\circ; C_p = 37.58; C_{pi} = 12.45 \left( 1 + \frac{1}{0.894s} \right)$$



$$\angle P(j\omega) = -90 \text{ at } \omega = 1.118$$

$$\phi = -180 + 45 = -90 = -45$$

$$\tan(45)/1.118 = 0.894$$

C = P	P+I
Tpk 1.4	2.58
TSet 2.5	5.86
%os 37	23.6
Oss 0.787	1

Better: Oss 1,  
but slow

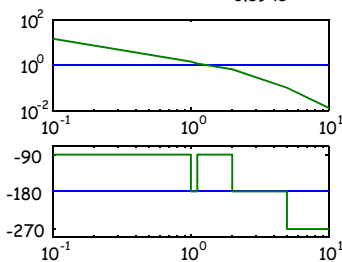
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## Estimating Time Response from Asyms

$$C^*P(s) = \frac{12.45(0.894s+1)}{0.894s} * \frac{1}{(s+5)(s+1)(s+2)}$$



Clearly  $|CP| = 1$  bit after 1 rad/s, say 1.2

Give estimate for  $\omega_{rf}$   
PM design at  $45^\circ, \zeta = 0.45$

$$T_{pk} \sim \frac{\pi}{\omega_{tr}} = \frac{\pi}{\omega_{rf} \sqrt{1-2\zeta^2}}$$

Estimate is 2.3s

Actual is 2.6s

Do able in an Exam!

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## Confirmation : How to do in an Exam

$$C^*P(s) = \frac{12.45(0.894s+1)}{0.894s} * \frac{1}{(s+5)(s+1)(s+2)}$$

Corner Freqs 1;  $1/0.894 = 1.2$ ; 2 and 5

$$\text{Before 1: TF} = \frac{12.45}{0.894j\omega} * \frac{1}{(5)(1)(2)} = \frac{1.39}{j\omega} \quad \text{Gain} = 1 \text{ at } \omega = 1.39$$

$$1.1.2 \text{ TF} = \frac{1.39}{j\omega} * 0.894j\omega = 1.24 \quad \text{Gain not equal 1!}$$

$$1.2..2 \text{ TF} = 1.24 * \frac{1}{j\omega} = \frac{1.24}{j\omega} \quad \text{Gain} = 1 \text{ at } \omega = 1.24$$

This is in range 1.2 ..4, so this is a good estimate of  $|CP| = 1$

Which itself is an estimate of where CL Gain maximum

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## Modified Ziegler Nichols PID

To speed up, add to controller a term prop to differential of error  
Results in most common type of industrial controller - PID

$$C(s) = K_p \left( 1 + \frac{1}{sT_i} + sT_d \right) = K_p \left( \frac{1 + sT_i + s^2T_iT_d}{sT_i} \right)$$

Numerous ways to find parameters - Modified Ziegler Nichols common

Choose a partic freq,  $\omega_c$ , design controller for desired PM

ie C\*P has gain 1 and phase  $-180^\circ + PM$  at  $\omega_c$

Can be done by P+I controller -  $K_p$  and  $T_i$  define operation.

For PID, suggest  $T_d \leq 0.25 * T_i$  so  $C(s)$  has two real zeros

Here set  $T_d = 0.25 * T_i$  as it makes Maths easier

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## PID Controller

$$\text{If } T_d = 0.25T_i, C(j\omega) = K_p \frac{(1 + j\omega 0.5T_i)^2}{j\omega T_i}$$

$$\angle C(j\omega_c) = 2 * \tan^{-1} 0.5\omega_c T_i - \frac{\pi}{2}$$

$$\text{Want } \angle C(j\omega_c) * P(j\omega_c) = -\pi + PM$$

$$\text{So } \angle C(j\omega_c) = -\pi + PM - \angle P(j\omega_c) = \phi$$

$$T_i = \frac{2}{\omega_c} \tan \left( \frac{\phi + \frac{\pi}{2}}{2} \right) = \frac{2}{\omega_c} \frac{\sin(\phi + \frac{\pi}{2})}{1 + \cos(\phi + \frac{\pi}{2})} = \frac{2}{\omega_c} \frac{\cos \phi}{1 - \sin \phi}$$

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## Continued

$$T_i = \frac{2}{\omega_c} \frac{\cos \phi}{1 - \sin \phi}$$

$$C(j\omega_c) | = K_p \frac{1 + (0.5\omega_c T_i)^2}{\omega_c T_i}$$

$$C(j\omega_c) | = K_p \frac{1 + \left( \frac{\cos \phi}{1 - \sin \phi} \right)^2}{\frac{2 \cos \phi}{1 - \sin \phi}} = K_p \frac{(1 - \sin \phi)^2 + \cos^2 \phi}{2 \cos \phi (1 - \sin \phi)}$$

$$= K_p \frac{1 - 2 \sin \phi + \sin^2 \phi + \cos^2 \phi}{2 \cos \phi (1 - \sin \phi)} = K_p \frac{2(1 - \sin \phi)}{2 \cos \phi (1 - \sin \phi)} = \frac{K_p}{\cos \phi}$$

$$\text{As } |C(j\omega_c) P(j\omega_c)| = 1; \Rightarrow \frac{K_p}{\cos \phi} |P(j\omega_c)| = 1;$$

$$\text{so } K_p = \frac{\cos \phi}{|P(j\omega_c)|}$$

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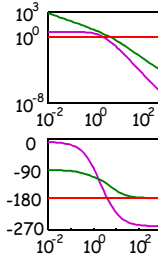
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## On Same Plant

Plant has phase  $-180^\circ$  at 4.12 rad/s - this can be  $\omega_c$  set PM  $45^\circ$

$$T_i = \frac{2}{4.12} * \frac{\cos 45}{1 - \sin 45} = 1.17; |P(j4.12)| = 0.0079; K_p = \frac{\cos(45)}{0.0079} = 89$$



$$89.09 \left( 1 + \frac{1}{1.171s} + 0.293s \right)$$

C = P	PID
Tpk 1.4	0.72
TSet 2.5	1.63
%os 37	25.4
Oss 0.787	1

Better: Oss 1, and faster

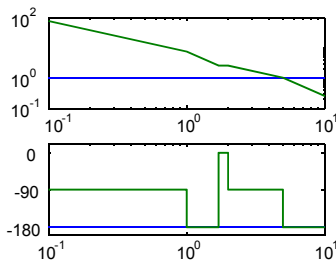
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## On Asymptotes

$$C(j\omega) = \frac{K_p}{j\omega T_i} * \left( 1 + j\omega \frac{T_i}{2} \right)^2 = \frac{76}{j\omega} * (1 + j\omega 0.58)^2; P(j\omega) = \frac{1}{(j\omega + 5)(j\omega + 1)(j\omega + 2)}$$



Clearly  $|CP| = 1 \sim 5$   
Is est for wrf  
PM design at  $45^\circ, \zeta = 0.45$

$$T_{pk} \sim \frac{\pi}{\omega_{rt}} = \frac{\pi}{\omega_{rf}} \sqrt{\frac{1 - 2\zeta^2}{1 - \zeta^2}}$$

Estimate is 0.5s  
Actual is 0.7s

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## Again - estimate by Asymptote

$$C(j\omega) * P(j\omega) = \frac{76}{j\omega} * (1 + j\omega 0.58)^2 * \frac{1}{(j\omega + 5)(j\omega + 1)(j\omega + 2)}$$

CFs 1, 1/0.58 = 1.72, 2, 5;

1.72 v close to 2, so replace 1.72 and 2 by ~1.9

$$(1 + j\omega 0.58)^2 * \frac{1}{(j\omega + 2)} \approx 1 + j\omega / 1.9 = \frac{j\omega + 2}{1.9}$$

$$\text{So } C(j\omega) * P(j\omega) \approx \frac{40(j\omega + 1.9)}{j\omega(j\omega + 5)(j\omega + 1)}$$

$$\text{Asyms} \quad < 1 \quad 1.19 \quad 19.5 \quad > 5$$

$$\frac{16}{j\omega} \quad \frac{16}{(j\omega)^2} \quad \frac{8}{j\omega} \quad \frac{40}{(j\omega)^2}$$

Gain = 1 in last asym, at  $\sqrt{40} = 6.3$  similar to est of 5 from figure

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## Summary

We have seen how to design, in the frequency domain  
 P, Phase Lead, P+I and PID controllers  
 P is simplest - often not acceptable in type 0 systems  
 For type 1 systems,  $O_{ss} = 1$ , so P ok, but Phase Lead speeds up  
 For type 0 systems, an integrator needed for  $O_{ss} = 1$   
 P+I ok, but slow, so PID often used - most common in industry  
 One method has been shown - there are others.  
 Next week we finish the course by considering  
 Positive and Negative Feedback  
 Estimating frequency response from time domain samples

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## Assignment

You are now in a position to complete the assignment  
 See the sheet for details, but essentially you will  
 Design P, Phase Lead, P+I and PID controllers for systems based on  
 your student number using another GUI  
 Copy relevant code, results, etc., into the Word doc  
 Submit by deadline onto Blackboard

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## 10 : Final Topics

In this lecture we finish the course  
 We look into concept of negative and positive feedback  
 Consider definition and claims  
 and relate this to the frequency domain  
 We also look at estimating the freq resp from time samples

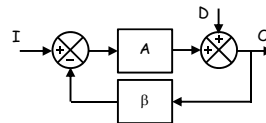
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## Positive and Negative Feedback

Various views and erroneous comments exist.  
 Here give sensible definition and consistent claims for effect.  
 Bode's colleague Black's *Change in Gain due to Feedback*  
 Bell System Technical Journal, Vol XIII, pp1-18, Jan 1934



Amplification (or Gain)  
 without feedback =  $|A|$   
 with feedback  $|G| = \frac{|A|}{|1 + A\beta|}$

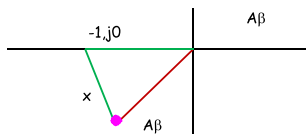
Positive Feedback if  $|G| > |A|$ ; that is  $|1 + A\beta| < 1$   
 But note,  $A\beta$  is a function of frequency ...

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## Distance from $-1, j0$

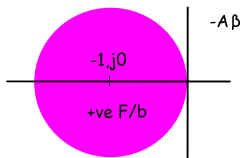


$x = 1 + A\beta$   
 So distance from  $-1, j0 = |1 + A\beta|$

Any point in circle is  
 where  $|1 + A\beta| < 1$

Positive Feedback at freqs  
 where Nyquist plot in circle  
 Negative Feedback at others

+ve feedback and instability not related



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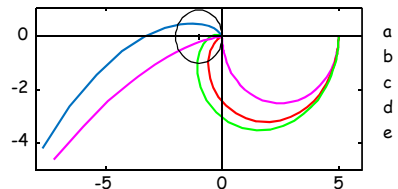
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## Consider these systems

used earlier

- $\frac{5}{10s+1}$
- $\frac{5}{(8s+1)(5s+1)}$
- $\frac{5}{(3s+1)(2s+1)(s+1)}$
- $\frac{5}{s(5s+1)}$
- $\frac{5}{s(s+1)(2s+1)}$



Locus	Some +ve fb	Stable
(a)		
(b)		
(c)		
(d)		
(e)		

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## Claims for Negative Feedback

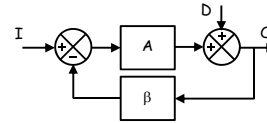
- 1) It reduces error (or errors) in the system  
many books not define what error is
  - 2) It reduces the effects of disturbances  
sometimes disturbances are not defined
  - 3) It reduces effects of changes in the forward path gain  
this is a useful effect in electronics, for instance, as gains change, as well as in other systems
  - 4) It reduces the magnitude of the system gain  
Black's claim - often ignored by control engineers
- Let us investigate these claims - doing 2, 3 and then 1.

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## Reducing Effect of Disturbances



Assuming  $I = 0$ , for open loop,  $O = D$   
for closed loop,  $O = \frac{1}{1+A\beta} D$

So effect of D on O reduced if

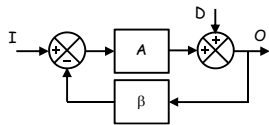
$$\left| \frac{1}{1+A\beta} \right| < 1 \quad \text{or} \quad \left| \frac{1}{1+A\beta} \right| < 1 \quad \text{i.e. if negative fb}$$

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## On Changes in Forward Path Gain, A



$$\frac{O}{I} = \frac{A}{1+A\beta}$$

$$\text{Define } G = \frac{A}{1+A\beta}$$

What is effect on G of changing A, assuming  $\beta$  is constant?

$$\frac{dG}{dA} = \frac{(1+A\beta) \frac{dA}{dA} - A \frac{d(1+A\beta)}{dA}}{(1+A\beta)^2} = \frac{(1+A\beta) - A\beta}{(1+A\beta)^2} = \frac{1}{(1+A\beta)^2}$$

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## Investigating This

Change in G on its own not useful, the relative change in G better, i.e.  $dG/G$

$$dG = \frac{dA}{(1+A\beta)^2}$$

$$\text{So } \frac{dG}{G} = \frac{\frac{dA}{(1+A\beta)^2}}{\frac{A}{1+A\beta}} = \frac{dA}{A(1+A\beta)}$$

So proportional change in G = prop. change in A \*  $\frac{1}{1+A\beta}$

For effect of change in A to be reduced by feedback

$$\left| \frac{1}{1+A\beta} \right| < 1 \quad \text{i.e. if system has negative feedback}$$

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## What About Changing $\beta$ ?

$$\frac{dG}{d\beta} = \frac{(1+A\beta) \cdot 0 - A \cdot A}{(1+A\beta)^2} = \frac{-A^2}{(1+A\beta)^2} = -G^2$$

$$\frac{dG}{G} = G d\beta = \frac{A\beta}{1+A\beta} \frac{d\beta}{\beta}$$

So cant say, if negative feedback, effect of changing  $\beta$  reduced.

In fact, if have (as want) high loop gain:

$$\frac{dG}{G} \approx + \frac{d\beta}{\beta}$$

However, usually, more likely that A changes, not  $\beta$ .

Overall - definition pretty consistent with claims.

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## Negative Feedback Reduces Error

'Desired Output' =  $-I / \beta$ , what is actual output?

Open Loop, Actual Output =  $A * I$

Closed Loop, Actual Output =  $\frac{A}{1+A\beta} * I$

Output Error = Desired Output - Actual Output

But, size of error affected by output size: define Error Ratio :

$$ER = \frac{\text{Output Error}}{\text{Desired Output}}$$

$$ER = \frac{\text{Output Error}}{\text{Desired Output}} = \frac{\text{Desired Output} - \text{Actual Output}}{\text{Desired Output}}$$

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### Error Ratio

Closed Loop:  $ER = \frac{\frac{I/\beta - AI}{I/\beta}}{1 + A\beta} = 1 - \frac{A\beta}{1 + A\beta} = \frac{1}{1 + A\beta}$

Open Loop:  $ER = \frac{I/\beta - AI}{I/\beta} = 1 - A\beta$

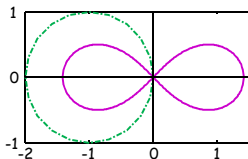
Feedback has reduced the size of the error if:

$$\left| \frac{1}{1 + A\beta} \right| < |1 - A\beta| \quad \text{or} \quad 1 < |1 - A\beta| |1 + A\beta|$$

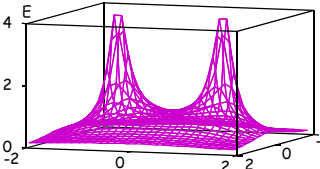
Not negative feedback definition - but similar  
Let's show the regions on the Argand Plane :

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### Consider these on Argand Plane



Error raised inside figure of 8  
 $((1+x)^2 + y^2)((1-x)^2 + y^2) = 1$   
 NB  $y = 0$  when  $x = 0$  or  $\pm\sqrt{2}$   
 Positive feedback inside  
 $(1+x)^2 + y^2 = 1$



3D plot, Error vs plane  
 Much of plane  $E < 1$   
 $E > 1$  near  $-1, j0$  and  $+1, j0$   
 Easy to avoid  $+1, j0$  by high low freq loop gain  
 Then -ve fb ~ reduce E

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### 2<sup>nd</sup> Order System no Positive Feedback

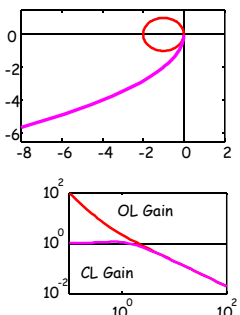
Loop TF  $\frac{1+2s}{s^2}$

CL:  $\frac{1+2s/s^2}{1+1+2s/s^2} = \frac{1+2s}{1+2s+s^2}$

High F, LoopTF  $\sim 2/s$ , up imag axis  
 Re no +ve feedback, look at |denom|

Open Loop:  $\omega^2$

Closed Loop:  $\frac{\sqrt{1-2\omega^2 + \omega^4 + 4\omega^2}}{\omega^2} = \sqrt{1+2\omega^2 + \omega^4} = 1 + \omega^2$



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### Second Order Correlations On This

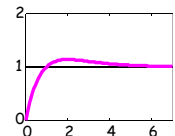
$M_{pf} = 1.16$      $\omega_{rf} = 0.707 \text{ rad/s}$      $O_{ss} = 1$   
 $\zeta = 0.5$      $\omega_{rt} = 0.866 \text{ rad/s}$      $\omega_n = 1 \text{ rad/s}$

	Estimate	Actual
$T_{pk}$	3.6s	1.98s
$O_{pk}$	1.16	1.14
$T_{settle}$	8s	5.4s

Why the difference?

Comparing  $\frac{1+2s}{1+2s+s^2}$  and  $\frac{1}{s^2 + 2\zeta\omega_n s + \omega_n^2} = \frac{1}{s^2 + s + 1}$

Dominant mode of this system too different.



Step Resp overshoots though no +ve fb

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### Estimating System Frequency Response

Fourier Transform  
 process a signal (a function of time),  
 generate its frequency response

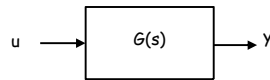
Result a set of complex numbers at various frequencies,  
 This is the power spectrum

$y(t) \rightarrow \Psi_S(j\omega)$

Could then reconstruct signal by summing sinusoids ...  
 Note, real signals are the 'true' value, plus noise  
 So can only generate estimates of the spectrum.

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### How to get Transfer Function?



In Laplace Domain :  $Y(s) = G(s) * U(s)$   
 In Freq domain :  $Y(j\omega) = G(j\omega) * U(j\omega)$

$$G(j\omega) = \frac{Y(j\omega)}{U(j\omega)}$$

So an estimate of the power spectrum of G is found by

$$G_{PS}(j\omega) = \frac{\Psi_{PS}(j\omega)}{\Psi_{PS}(j\omega)}$$

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## Frequency Resp from Time Domain

MatLab has the tools to do this. Uses structs for data  
Basic algorithm

```
y = output, u = input, over time = t
dat = iddata(y, u, t(2)-t(1)) % form time domain data
datd = detrend(dat) % remove best straight line fit
% removes mean value
idplot(z) % plot in and out
fr = spa(datd) % finds spectral response
bode(fr) % plot it as bode plot
```

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## Persistently Exciting Input

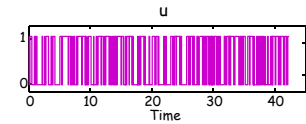
Important that the system is 'persistently excited'  
step signal not enough, as output over small freq range

'random' pulse train better

also called pseudo random binary sequence

Eg to generate 1000 bit sequence,  $u(t) = \text{randomly } u(t-1) \text{ or } 1-u(t-1)$

```
r = rand(1000,1);
u = r; u(1) = 1;
for ct = 2:length(u),
    if r(ct)>0.8, u(ct)=u(ct-1);
    else u(ct) = 1-u(ct-1);
end;
end;
```



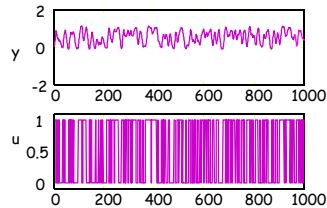
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## Example - test on 2<sup>nd</sup> order system

```
Ts = 0.04; t = [0 : Ts : Ts*999]; % 1000 element time
y = lsim(50, [1 8 50], u, t); % sim known 2nd order sys
dat = iddata(y, u, Ts);
datd = detrend(dat);
idplot(dat)
```



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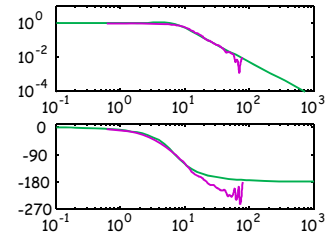


## Do Spectral Analysis

```
[ma, pa, wa] = bode(50, [1 8 50]); % get actual bode to test
fr = spa(datd); % now do spa
```

```
[m,p,w]=bode(fr);
mm=squeeze(m);
pp=squeeze(p);
ww=squeeze(w);
mm is 1,1,n matrix
squeeze so 1,n vector
Plot m and mm; p and pp
```

Results from spa  
close to actual,  
until high freqs



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## Summary

In this lecture

- Looked at positive and negative feedback
- Have a definition consistent with claims
- Showed that systems often have both +ve and -ve
- Also, briefly introduced Spectral Analysis
- For finding frequency response of plant from I/O data
- Note, I must persistently excite system

Overall, in these lectures

- Have considered how to find and plot frequency responses
- To identify and control systems using the frequency response
- To find frequency response from time domain data

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